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Feature selection algorithms using Chilean wine chromatograms as examples

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10 Abstract

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11 This work presents the results of applying genetic algorithms, in selecting the more relevant features present in chromatograms of 12 polyphenolic compounds, obtained from a high performance liquid chromatograph with aligned photodiodes detector (HPLC-

12 polyphenoide compounds, obtained from a high performance inquid enromatograph with angled photododes detector (11 De 13 DAD), of samples of Chilean red wines Cabernet Sauvignon, Carmenere and Merlot. From the 6376 points of the original chro-

14 matogram, the genetic algorithm is able to select 37 of them, providing better results, from classification point of view, than the

15 case where the complete information is used. The percent of correct classification reached with these 37 features turned out to

16 be 94.19%.

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18 Keywords: Feature selection; Genetic algorithms; Wine classification; Signal processing

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20 1. Introduction

The wine industry has experienced a remarkable growth in the last few years. Chile has not been out of this growing market and has incorporated new technologies in the harvest and also in the wine making process. The control efforts on this process have assured the quality of the resultant product. In this sense the classification methods of the grape variety used to elaborate wine play an important role.

During the last two decades it has been an increasing interest in the use of wine classification techniques that allow classifying the variety of the wine as well as the production place (origin denomination). This classification has been carried out by processing information cor-

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responding to physical features (color, density, 34 conductivity, etc.), chemical features (phenols, antho-35 cians, amino acids, etc. (Peña-Neira, Hernández, 36 Garcia-Vallejo, Estrella, & Suárez, 2000; Marx, Hol-37 bach, & Otteneder, 2000)) and organoleptic features 38 (odors, tasting, etc. (Flazy, 2000)). This information 39 has been processed by several techniques, such as statis-40 tical methods (discriminant analysis, principal compo-41 nents, Fisher transformation, etc. (Fukunaga, 1990)), 42 artificial neuronal networks (perceptrons, multilayers 43 ANN, ANN with radial basis functions, etc. (Ripley, 44 1996)]) and genetic algorithms (Goldberg, 1989; Hol-45 land, 1992; Michalewicz, 1996; Mitchell, 1996). 46

In every classification problem the process of feature 47 selection become important because it allows to eliminate the features that can lead to errors (noisy features), 49 to discard those that do not contribute with information 50 (irrelevant features) and to eliminate those that provide 51 the same information that others (redundant features) 52

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53 (Blum & Langley, 1997). The main advantages of this 54 process are the reduction of the data processing time, 55 decrement in the requirements of data storage space, decreasing in the cost of data acquirement (by the use 56 57 of specific sensors) and the most important, it allows 58 to select a subset of the original features which contrib-59 ute with the largest amount of information for a particular problem (reduction in the dimensionality of the 60 input data). 61

This work presents a methodology for selecting the 62 most important variables, for classification purposes, 63 contained in the information comprised in a polyphenol-64 ic chromatograph of wine samples, obtained by a high 65 performance liquid chromatograph with detector of 66 67 aligned photodiodes, HPLC-DAD. In Section 2 a brief explanation of feature selection methods currently in 68 69 use is presented. In Section 3 is described the data used for this study, indicating the general way it was gener-70 ated. In Section 4 the methodology used to perform 71 the feature selection is described and Section 5 shows 72 73 the results obtained. Finally, in Section 6 the main con-74 clusions about this work are drawn and some remarks 75 about future developments are presented.

76 2. Feature selection methods

Generally speaking, in the feature selection proce-dures four basic stages are distinguished (Dash & Liu,1997):

80 1. *Generation procedure*: In this stage a possible subset
81 of features to represent the problem is determined.
82 This procedure is done according to one of the stand83 ard methods used for this purpose.

84 2. *Evaluation function*: In this stage the subset of features selected in the previous stage is evaluated
according to some function previously defined (fitness).

88 3. Stopping criterion: It is verified if the evaluation of the
89 selected subset satisfies the stopping criterion defined
90 for the searching procedure.

4. Validation procedure: In this step it is checked the 91 quality of the selected subset of features, using a pre-92 specified criterion. 93

The general feature selection process is illustrated in 95 Fig. 1. 96

The feature selection methods are classified from the97point of view of the way in which the new subset to evaluate is generated, leading to three types of methods98(Dash & Liu, 1997).100

- 1. Complete methods: These methods examine all the 101 possible feature combinations. They are computa-102 tionally very expensive (search space of order $O(2^N)$) 103 for N features) but they assure to find the optimal fea-104 ture subset. As examples of these methods it is possi-105 ble to mention Branch and Bound (Narendra & 106 Fukunaga, 1977) and Focus (Almuallin & Dietterich, 107 1992). 108
- 2. Heuristics methods: They use a search methodology 109 such that it is not necessary to evaluate all the possible feature subsets. Thus a higher speed of the method is reached, since the search space is smaller than in 112 the previous case. These methods do not assure to 113 find the optimal subset. As examples in this category 114 we can mention the methods *Relief* (Kira & Rendell, 115 1992) and *DTM* (Cardie, 1993). 116
- 3. Random methods: These methods do not have a spe-117 cific way of defining the feature subset to be analyzed, 118 but use random methodologies. Thus, a probabilistic 119 search takes place in the feature space. The results 120 using these types of methods will depend on the num-121 ber of attempts, without assuring that the optimal 122 subset is attained. The methods presented in LVW 123 (Liu & Setiono, 1996) and some using genetic algo-124 rithms (Vafaie & Imam, 1994) belong to this kind. 125

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From the evaluation function viewpoint, the feature 127 selection procedures can be classified into two categories 128 (John, Kohavi, & Pfleger, 1994). 129

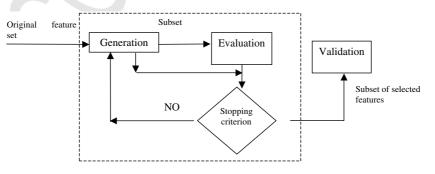


Fig. 1. General procedure of feature selection.

- 130 1. Filtering methods: These are methods where the selection procedure is made in an independent way of the 131 132 evaluation function (classification). To this extent is 133 possible to distinguish four different measures: dis-134 tance, information, dependency and consistency. As 135 an example of these methods we have Relief (Kira 136 & Rendell, 1992), DTM (Cardie, 1993), POE & 137 ACC (Mucciardi & Gose, 1971) and Focus (Almuallin & Dietterich, 1992) respectively. 138
- 139 2. Wrapped methods: In these methods the selection 140 algorithm uses as measure the error rate of the classi-141 fier. This method usually provides better results than 142 the previous case, but brings out a large computa-143 tional cost. In this category we have methods like Ob-144 livon (Langley & Sage, 1994).

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3. Experimental data 146

147 The information used in this study corresponds to 148 that contained in the chromatograms of phenolic com-149 pounds of small molecular weight of Chilean red wine samples. They were obtained by means of liquid chro-150 151 matography analysis using a high performance liquid 152 chromatograph (HPLC) connected to a detector of 153 aligned photodiodes (DAD) (Peña-Neira et al., 2000). 154 The equipment used is an HPLC Merck-Hitachi, model L-4200 UV-VIS Detector with pump model L-600 and 155 156 columnhold Thermostat. The column used corresponds 157 to a Novapack C₁₈, 300 mm length and 3.9 mm of inter-158 nal diameter. For the separation of the different phenolics compounds it was used as solvents: A: 98% H₂O, 2% 159 160 acetic acid; B: 78% H₂O, 20% acetonitrile, 2% acetic 161 acid; C: 100% acetonitrile. The gradient used was: 0-162 55 min. 100% of A (flow of 1 ml/min); 55-57 min. 20% of A and 80% of B (flow of 1 ml/min); 57-90 163 min. 10% of A and 90% of B (flow of 1.2 ml/min). Each 164 chromatogram contains 6751 points and each one pre-165 sents peaks corresponding to a specific phenolic com-166 pound. These compounds have been completely 167 studied and identified by agronomists and enologists 168 169 working in this area (Alamo, 2002; Muñoz, 2002; 170 Peña-Neira et al., 2000).

- 171 Typical phenol chromatograms for Chilean Cabernet 172 Sauvignon, Merlot and Carmenere, are shown in Figs. 2–4, respectively. 173
- In order to avoid distortions, before processing the 174 175 data contained in the chromatograms the information 176 was normalized, since the amplitude of the peaks de-177 pends on the volume of the wine injected into the chro-178 matograph. In some cases were injected 20 ml whereas in 179 other cases were injected up to 100 ml of prepared sam-180 ple and consequently the peak amplitude (corresponding

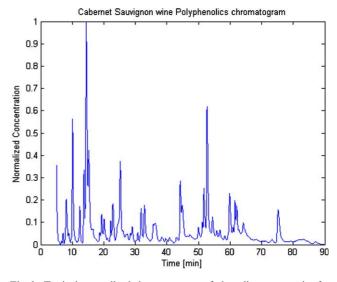


Fig. 2. Typical normalized chromatogram of phenolic compounds of a Chilean Cabernet Sauvignon wine.

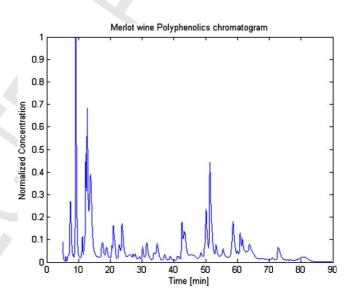


Fig. 3. Normalized chromatogram of phenolic compounds of a typical Chilean Merlot wine.

181 to each component concentration) have different magn-182 itudes. For the normalization process, in each chromatography was subtracted the minimum value and the result was divided by the maximum value, obtaining final values in the interval 0 and 1. This allows a fair comparison of different chromatographs. 186

The first five minutes of each chromatogram were de-187 leted (375 points) analyzing only the 6376 remaining 188 points. This is done since during the first instants of time 189 appears information in the chromatogram regarding the 190 effluents used to obtain the liquid chromatography and 191 192 they are chemical compounds which are not present in the wine sample. 193

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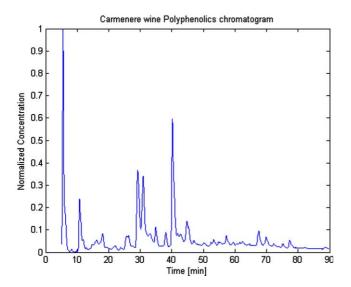


Fig. 4. Typical normalized chromatogram of phenolic compounds of a Chilean Carmenere wine.

Table 1 Distribution of samples used in the study

Wine	Sample no.	Number of samples
Cabernet Sauvignon	1-80	80
Carmenere	81-137	57
Merlot	138–172	35
	Total	172

194 For this study it was used data of Chilean wines from 195 different valleys, years and different vintages, which are 196 summarized in Table 1.

197 4. Proposed methodology

198 Due to the large number of features contained in each 199 sample (6376), the search of those features providing the 200 largest amount of information for classification prob-201 lems may become a large computational process with 202 too many calculations. On the other hand the number 203 of wine samples used in this work is relatively low to 204 apply some classifiers, since the number of features by 205 sample (6376) is far larger than the total number of sam-206 ples (172). This disadvantage is avoided by the genetic algorithm used in this work, since the methodology uses 207 208 a parallel processing of the possible solutions.

209 Genetic algorithms (GA) (Holland, 1992) correspond 210 to one of the techniques known under the name of evo-211 lutionary computing, which is inspired in the concept of 212 biological evolution. The main idea is that each individ-213 ual of a population represents a possible solution of the 214 optimization problem to be solved and according to the 215 adaptation of each individual to the problem under consideration (measure given by an objective function), the 216 evolution of the population will take place. Thus, new 217 possible solutions are generated corresponding to the re-218 sult of recombination processes (crossover) of the differ-219 ent initial individuals. New solutions can also be 220 considered by introducing mutations on the existing 221 individuals. 222

There are different ways of applying genetics algo-223 rithms. According to the selection method used to select 224 the individuals leading to the next generation, the most 225 226 common are the methods of proportional selection (Goldberg, 1989), selection by tournaments (Mich-227 228 alewicz, 1996) and selection by ranking (Mitchell, 1996). It can also be used different crossover methodologies (where two new individuals are created from two parents) and different mutation processes (where exist-232 ing individuals are randomly modified).

In order to determinate the best feature subset a ge-233 netic algorithm of niches was used, particularly one 234 denominated "Deterministic Crowding" (Mahfoud, 235 1995), which allows to find multiples solutions. In this 236 particular case the use of this method is in particular 237 attractive, since obtaining a feature subset, that corre-238 239 sponds to a group of specific phenolics compounds of the wines, might be experimentally easier to determinate and could have a similar performance, from classifica-241 tion point of view, that another subset of phenols with harder experimental difficulties.

In order to use the genetic algorithm there is a sequence of steps that is described in what follows.

Individual codification: In making each individual 246 codification, that corresponds to a possible feature sub-247 248 set, binary strips of length N are used, where N corresponds to the number of original features describing 249 the problem. In this case each feature corresponds to a point of the chromatogram and N = 6376. The existence of a 1 in the *i*th position indicates that feature *i* of the sample should be considered in the classification proc-253 254 ess.

In order to start the algorithm, the number of indi-255 256 viduals N_i that will be considered in each generation must be defined. This definition will have a direct rela-257 258 tionship with the amount of calculations to be performed and with the convergence of the algorithm to 259 an optimal solution. Given a larger number of individu-260 als, a wider exploration will be made in each generation, 261 needing a larger number of calculations. In the case of a 262 simple genetic algorithm not of a niche type, this num-263 ber N_i will also have relation with the selective pressure 264 that can be measured according to the number of gener-265 ations that the best individual of a population takes to 266 fill out all the population with copies of itself. 267

In the particular case of the methodology used here, 268 the number of individuals will be $N_i = 150$ and it was de-269 fined arbitrarily. According to the evaluation function 270 considered in the method, the amount of calculations 271

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to determine the fitness of each individual will vary.Thus the algorithm could take larger or smaller timein making the calculations for each generation depend-ing on different evaluation functions.

276 Another factor to be considered is the "genetic diver-277 sity" that is introduced in the initial population. For 278 example, if in a feature selection problem with N=50279 we use $N_i = 4$ individuals representing subsets of at most 280 10 features each one, in the best case (if all the subsets 281 were disjoint) the algorithm will consider 40 features 282 in the search (10 for each individual) being 10 features 283 not considered in the search space. That is why the lar-284 ger is the initial population the larger will be the genetic 285 diversity. If in the same previous example $N_i = 10$ indi-286 viduals were considered and their features were chosen 287 randomly, the probability that all the features were in-288 cluded in the search space would be very high. Another 289 tool that can be used to introduce this genetic diversity is 290 the mutation.

291 Once defined the number of individuals N_i of the pop-292 ulation, the initial features of individuals must be de-293 fined. This was made in a random form, in such a way 294 that each individual did not include a feature number 295 greater than 80 ($N_c \leq 80$). This is because for this partic-296 ular case there were only 172 samples and having a lar-297 ger number of features than the number of samples, the 298 Linear Discriminant Analysis (LDA), the classifier se-299 lected for this study, cannot be used.

300 Adaptation function (fitness): In order to define the 301 adaptation function or fitness, for each individual and 302 since the aim is to select those features providing more 303 information, the performance of an LDA classifier was 304 used, which corresponds to the linear Fisher classifier 305 (Fukunaga, 1990). This classifier uses the linear Fisher 306 Transformation that maximizes the distance between 307 classes and minimizes the inter classes distance. In addi-308 tion, a leave-one-out methodology was considered, con-309 sisting in designing the classifier using all the samples 310 except one and using the sample that was left out in classification. This procedure is performed excluding all the 311 312 samples, one by one, and determining the error com-313 puted as the number of samples classified wrong divided 314 by the total number of samples. In this study this form 315 was chosen since the number of samples was not suffi-316 ciently large and is the limit of the cross-validation 317 methodology when the sets are set to N-1 for training 318 and 1 for validation. The methodology corresponds to a 319 wrapped methodology, since the right classification per-320 centage of the classifier is used as a performance meas-321 urement.

Next generation selection methodology: With the aim 322 of selecting the population of the next generation, the 323 denominated Deterministic Crowding (Mahfoud, 1995) 324 was used. It consists in making a random selection of 325 two parents, allowing every individual in the population 326 327 being selected as father only once, so that every individual of a generation can be considered as parent for the 328 329 next generation. In the following generation the parents recombine themselves in discrete form (Uniform Cross-330 331 over), that is to say for every variable of each individual of the intermediate population the variable belonging to 332 one of the parents is chosen randomly and equiproba-333 bly. The intermediate population corresponds to a pop-334 ulation of individuals which are possible candidates to 335 be considered as part of the following generation and 336 is generated in the middle of generation n and n+1. 337 Each pair of parents will arise two individuals of the 338 339 intermediate population, which are evaluated in the sense of similarity with the parents, using the Hamming 340 341 distance of the individuals (Mahfoud, 1995). For the next generation are chosen the two individuals of best 342 performance in the comparisons between parents and 343 individuals of the intermediate population. This assures 344 345 the continuity of the different possible feature subsets solving the problem, since if exist individuals consider-346 ing similar feature subsets (i.e. their Hamming distance 347 348 is small) these will be compared amongst them and not with individuals considering extremely different fea-349 ture subsets. 350

5. Classification results

Applying the methodology explained in Section 4 to the information described in Section 3, a series of results were obtained, which are described in what follows. 354

Initially the algorithm was run to a point in which an 355 88.4% of correct classification was obtained (20 samples 356 incorrectly classified), considering only 64 out of the 357 6376 features. At that moment was not possible to con-358 359 tinue the execution of the algorithm, because the feature subsets found have a non invertible correlation matrix, 360 indicating that those features were linearly dependent. 361 In order to solve this problem, whenever a situation like 362 this was found the subset was eliminated, because is of 363 no interest to find feature subsets considering correlated 364 features, see Fig. 5. 365

With these considerations in mind a result considering only 36 features was obtained, providing a correct 367 classification percentage of 91.86% (14 samples wrong 368



Fig. 5. Example of an individual in the population.

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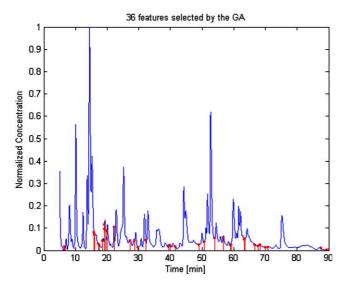


Fig. 6. The 36 best features selected by the GA plotted on the chromatograph for sample no. 1, providing a correct classification percentage of 91.86%.

classified). In Fig. 6 the 36 features selected by the genet-369 370 ic algorithm are shown by vertical lines plotted on the 371 chromatograph corresponding to sample no. 1 (a Cabernet Sauvignon sample wine). Another possible solution 372 373 found applying this methodology was two subsets of 35 features giving a correct classification percentage of 374 375 91.27%. One of the 35 selected features by the GA are indicated in Fig. 7 and plotted over the chromatograph 376 377 corresponding to sample no. 1.

It is important to point out that once the GA selected
the 35 features (or 36), these 35 (or 36) points were considered in each chromatogram (172) and then the Leave
One Out (LOO) procedure was used to evaluate the classical

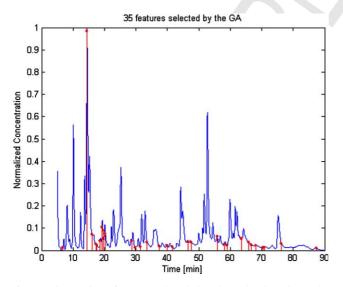


Fig. 7. The 35 best features selected by the GA plotted on the chromatograph for sample no. 1, providing a correct classification percentage of 91.27%.

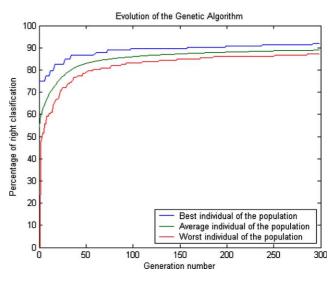


Fig. 8. Evolution of the percentage of correct classification as function a of the generations.

sifier (using an LDA classifier). 172 test were performed, 382 each time leaving one sample out of the total set and 383 training the classifier (LDA) with 171 remaining sam-384 ples. Then the sample left out was presented to the clas-385 sifier for classification in one of the three classes. In this 386 procedure (repeated 172 times) all the samples but a few 387 ones presented to the classifier were correctly classified 388 as Merlot, Carménére or Cabernet Sauvignon, giving 389 390 an average correct classification rate.

In Fig. 8 it is possible to appreciate the evolution of 391 the genetic algorithm and how the performance im-392 proves as the generations increase. The upper curve cor-393 responds to the percentage of correct classification of 394 the best individual of each generation, the second curve 395 corresponds to the average of correct classification of 396 the whole population, and the lower curve corresponds 397 to the percentage of correct classification of the worse 398 individual of each generation. 399

Fig. 9 displays an histogram appearing the frequency 400 that each feature is present in the solution that gives the 401 best classification percentage in each generation, consid-402 ering the first 300 generations. It is possible to observe 403 that some features never appear in the solution and it 404 is may be conjectured that these features does not con-405 tain important information to the wine classification 406 problem. 407

As it was mentioned before, the benefit of using a 408 niche type genetic algorithm is that it is possible to find 409 more than one feasible solution to the optimization 410 problem. It is important to point out, that due to the 411 choice of the size population (150) and to the large num-412 ber of features (6376), perhaps not all the subset were 413 considered for the search of the optimal or maybe they 414 were eliminated early. To avoid this situation a new 415 population was defined in a random way, where the 416

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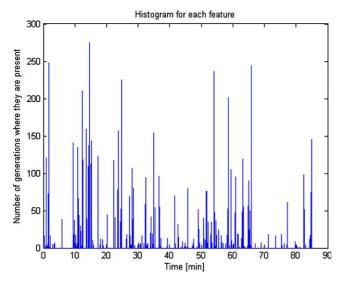


Fig. 9. Number of opportunities that each feature appears in the best subset found by the GA, for the first 300 generations.

417 three best individuals of the previous simulations were 418 included (best individuals obtained after 300 genera-419 tions, since as can be appreciated from Fig. 8, an in-420 crease of the generations beyond 300 does not improve 421 the percentage of correct classification). The same effect 422 could have been obtained using mutation of the popula-423 tion. After this process, a new possible feature subset 424 was found with 37 features giving a 94.19% of correct 425 classification rate (10 samples incorrectly classified).

Fig. 10 shows the subset including 37 features obtained after including the three best individuals of the first simulation in a new population, obtaining a different feature subset that gives a correct classification percentage of 94.19%. In Fig. 10 the vertical lines corre-

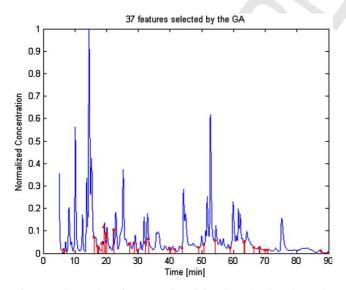


Fig. 10. The 37 best features selected by the GA plotted on the chromatograph for sample no. 1, providing a correct classification percentage of 94.19%.

Table 2
Samples wrong classified for the case of 37 features selected by the GA

Sample no.	Real variety	Classification given by classifier
71	1	2
128	2	1
134	2	3
135	2	1
137	2	1
138	3	1
139	3	1
154	3	1
162	3	1
172	3	1

1=Cabernet Sauvignon, 2=Carménére, 3=Merlot.

Table 3

Confusion matrix for the classifier with 37 features

Real sample/classified sample	1	2	3
1	0.9875	0.0125	0
2	0.0526	0.9298	0.0175
3	0.1429	0	0.8571

1=Cabernet Sauvignon, 2=Carménére, 3=Merlot.

spond to the features selected by the GA and they are 431 plotted on the chromatograph corresponding to sample 432 no. 1 (a Cabernet Sauvignon sample wine). 433

The 10 cases that were wrong classified, using the 434 leave-one-out methodology, by the classifier considering 435 only 37 features, occurred when the classifier is trained 436 with all the samples but the samples given in Table 2 437 and later, when the samples are presented to the classi-438 fier those samples were wrongly classified as indicated 439 in Table 2. This situation is summarized in the confusion 440 matrix shown in Table 3. 441

6. Conclusions

442

A methodology of feature selection based on genetic 443 algorithms has been proposed in this paper for wine 444 classification purposes. 445

It was demonstrated that the application of this methodology to Chilean wine variety classification problems gives percentages of correct classification of 94.19%. 448

From the results presented in this work it is possible 449 to choose a small feature subset of the original features 450 (6376) to suitably discriminate the classes of the Chilean 451 wine samples. In this case 37 features were selected, each 452 one corresponding to a chemical compound, that con-453 tain the best information to differentiate between the 454 wine samples with a percentage of correct classification 455 456 of 94.19%. That is to say, with only the 0.58% of the original features it is possible to reach percentage of 457 458 right classification of 94.19%.

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459 Another interesting point arising from this study is 460 the fact that several different feature subsets can be 461 found, providing the same percentage of right classifica-462 tion. The features selected by the GA corresponding to 463 one possible solution, will give information to enologists 464 as to what compounds are more relevant for wine clas-465 sification purposes.

From this study arise the necessity of having a larger
number of samples to generalize and validate these results. Currently we are processing about 200 new samples of Chilean wines, including some of the 2003
vintage, to increase the number of samples of our data
base to a total of about 350.

472 An interesting alternative to the proposed methodol-473 ogy is to incorporate an objective function penalizing 474 the number of features considered in the solution subset. 475 Thus, besides considering in the solution the correct 476 classification percentage will also be considered the 477 smallest number of features satisfying the selection 478 objective.

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